

Applicant or Patentee: Ole K. Nilssen Attorney's  
Serial or Patent No.: \_\_\_\_\_ Docket No.: \_\_\_\_\_  
Filed or Issued: \_\_\_\_\_  
For: \_\_\_\_\_



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9 (f) and 1.27 (b)) — INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled CONTROLLED DRIVEN SERIES-RESONANT BALLAST described in

☒ the specification filed herewith  
☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☒ no such person, concern, or organization  
☐ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

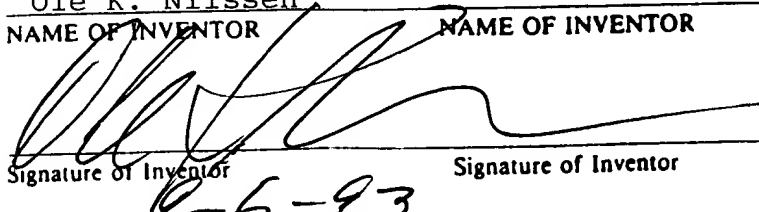
FULL NAME Ole K. Nilssen  
ADDRESS Caesar Drive, Barrington, IL 60010  
☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION


I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Ole K. Nilssen  
NAME OF INVENTOR NAME OF INVENTOR NAME OF INVENTOR  
  
Signature of Inventor Signature of Inventor Signature of Inventor  
9-6-93  
Date Date Date

REVISED OATH

As the below named inventor, being duly sworn, I depose and say that my residence, post office address and citizenship are as listed below next to my name; that I believe myself to be the original, first and sole inventor of a CONTROLLED DRIVEN SERIES-RESONANT BALLAST, which invention is described and claimed in patent application Serial No. 08/126,706 filed 09/23/93; which application is a Continuation-in-Part of Serial No. 08/107,649 filed 08/18/93; which is a Continuation-in-Part of Serial No. 08/063,075 filed 05/10/93; which is a Continuation-in-Part of Serial No. 08/020,696 filed 02/22/93; which is a Continuation-in-Part of Serial No. 07/840,528 filed 02/25/92; which is a Continuation of Serial No. 07/646,497 filed 01/28/91, now abandoned; which is a Continuation of Serial No. 07/107,795 filed 10/13/87, now abandoned; which is a Continuation-in-Part of Serial No. 06/658,423 filed 10/05/84, now abandoned; which is a Continuation-in-Part of Serial No. 06/555,426 filed 11/23/83; which is a Continuation of Serial No. 06/178,107 filed 08/14/80, now abandoned; which is a Continuation-in-Part of Serial No. 05/973,741 filed 12/28/78, now abandoned; which is a Continuation-in-Part of Serial No. 05/890,586 filed 03/20/78, now Patent No. 4,184,128; that I do not know and do not believe that the same was ever known or used before my invention thereof, or patented or described in any printed publication in any country before my invention thereof, or more than one year before the date of this application, or in public use or on sale in the United States more than one year before the date of this application; that said invention has not been patented or made the subject of an inventor's certificate in any country foreign to the United States on an application filed by me or my legal representatives or assigns more than twelve months prior to this application; that I acknowledge my duty, in accordance with paragraph 1.56 of 37 CFR, to disclose information of which I

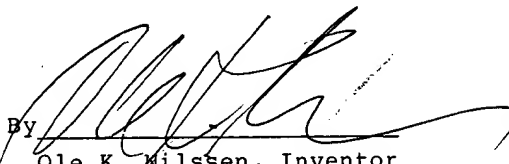
By   
Ole K. Nilssen, Inventor

Page 2 of 2

OATH

As the below named inventor, being duly sworn, I depose and say that my residence, post office address and citizenship are as listed below next to my name; that I believe myself to be the original, first and sole inventor of a CONTROLLED DRIVEN SERIES-RESONANT BALLAST, which invention is described and claimed in the patent application submitted herewith; which patent application is a Continuation-in-Part of Serial No. 08/107,649 filed 08/18/93; which is a Continuation-in-Part of Serial No. 08/063,075 filed 05/10/93; which is a Continuation-in-Part of Serial No. 08/020,696 filed 02/22/93; which is a Continuation-in-Part of Serial No. 07/840,528 filed 02/25/92; which is a Continuation of Serial No. 06/646,497 filed 01/28/91, now abandoned; which is a Continuation of Serial No. 07/107,795 filed 10/13/87, now abandoned; which is a Continuation-in-Part of Serial No. 06/658,423 filed 10/05/84, now abandoned; which is a Continuation-in-Part of Serial No. 06/555,426 filed 11/23/83; which is a Continuation of Serial No. 06/178,107 filed 08/14/80, now abandoned; which is a Continuation-in-Part of Serial No. 05/973,741 filed 12/28/78, now abandoned; which is a Continuation-in-Part of Serial No. 05/890,586 filed 03/20/78, now Patent No. 4,184,128; that I do not know and do not believe that the same was ever known or used before my invention thereof, or patented or described in any printed publication in any country before my invention thereof, or more than one year before the date of this application, or in public use or on sale in the United States more than one year before the date of this application; that said invention has not been patented or made the subject of an inventor's certificate in any country foreign to the United States on an application filed by me or my legal representatives or assigns more than twelve months prior to this application; that I acknowledge my duty, in accordance with paragraph 1.56 of 37 CFR, to disclose information of which I

am aware and which is material to the examination of this application, including information which occurred between the filing dates of the prior applications and that of the present application; that I acknowledge that said invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America; and that I have reviewed and that I do understand the content of the specification and the claims.

By   
1-00 ~~KE~~ Ole K. Nilssen, Inventor

Residence: Caesar Drive  
Barrington, Illinois 60010

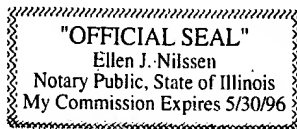
Citizenship: Norwegian

P.O. Address: Same as Residence

STATE OF ILLINOIS)

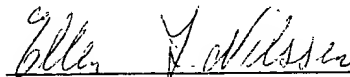
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COUNTY OF COOK )



SEAL

Sworn to and subscribed before me this 6th day of  
September, 1993.

  
Notary Public